

*Accredited Third Party Certification
and
Food Safety Management Systems*



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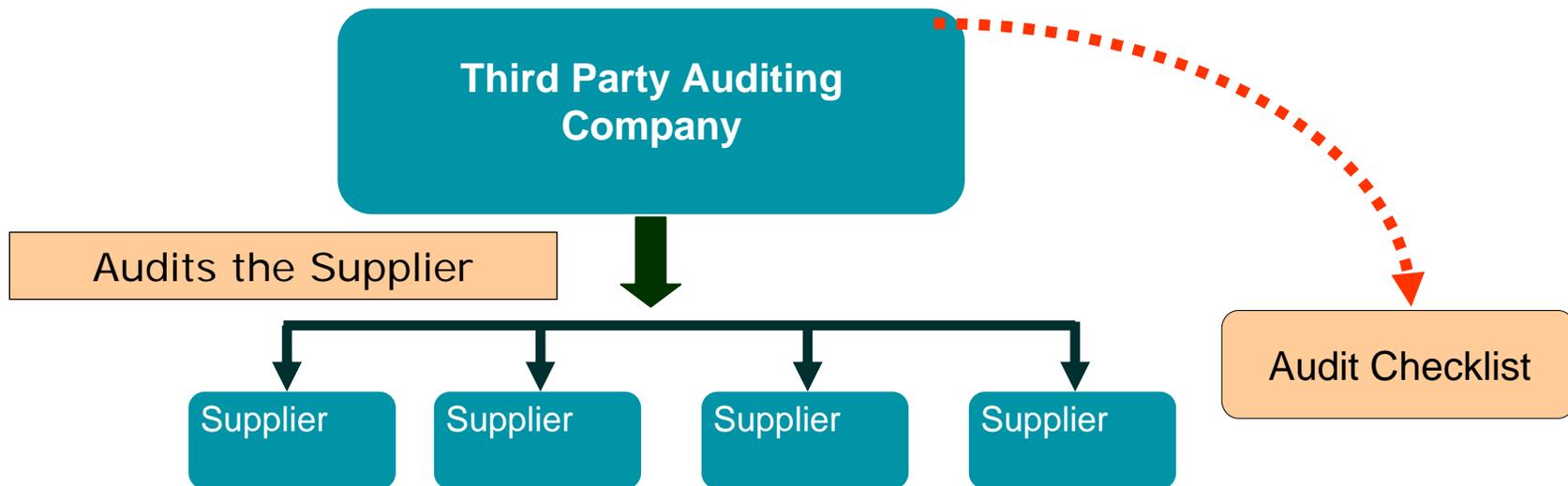
Food Marketing Institute

- Non-profit trade association conducting programs in research, education, food safety, industry relations and public affairs
- 1,500 Members
 - Food Retailers and Wholesalers
 - 3/4 of all food retail store sales in the US
- International: 200 companies in 60 countries

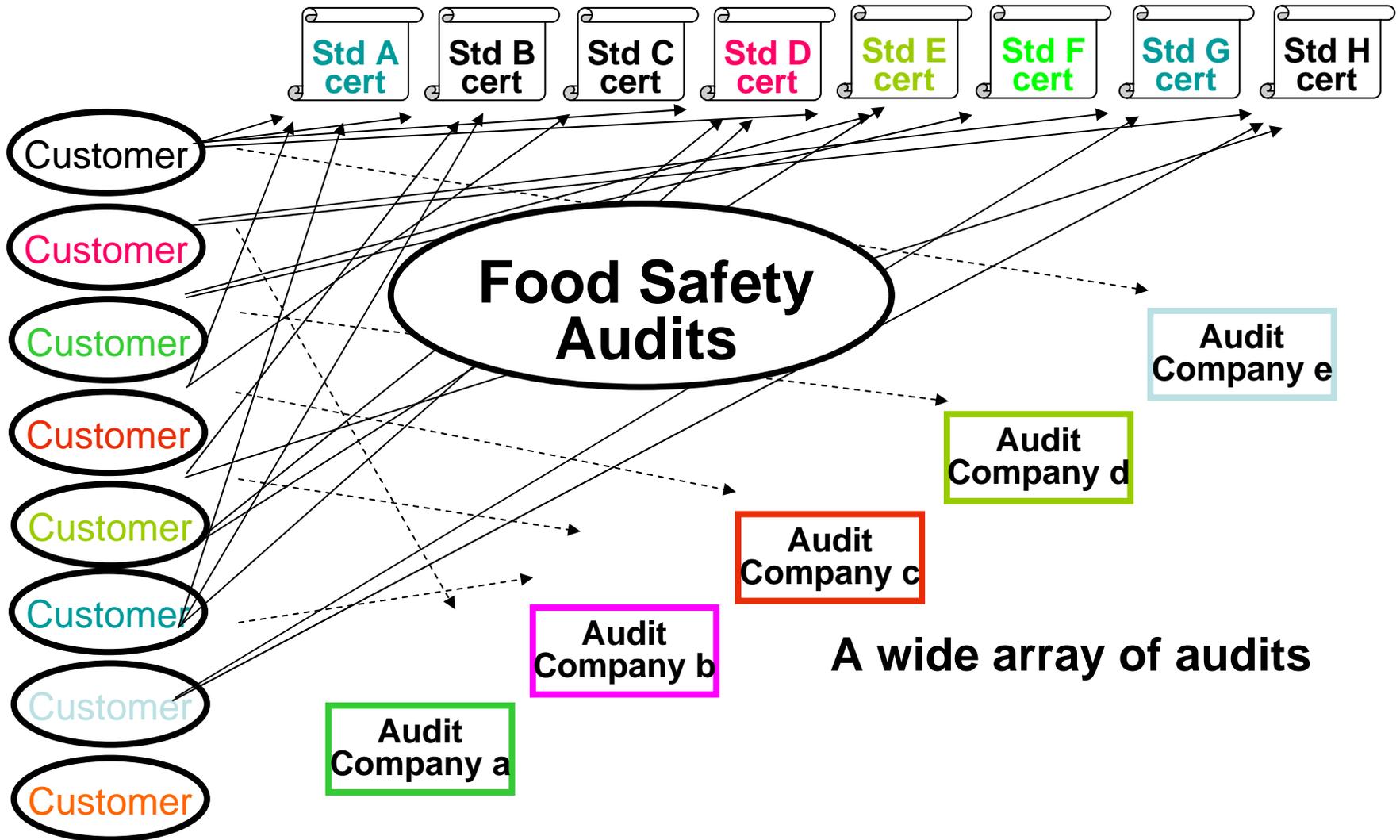
Overview – Industry Approach

- New model for food safety compliance
- Accredited Third-Party Certification
- Global Food Safety Initiative
- Developing trust
 - **Consumers**
 - **Government**

The Old Audit Framework



Old System Audits & Standards



A New Approach to Improving Food Safety Compliance

- Standards
- Auditing
- Certification
- Accreditation

Global Food Safety Initiative (GFSI)

Food retailers from around the world agreed to a common goal and strategy.

- **The Goal: *Continuous improvement in food safety management systems to ensure confidence in the delivery of safe food to consumers***
- **The Strategy: GFSI launched in 2000**
- **GFSI is managed by *CIES – The Food Business Forum* an international organization of food businesses**

GFSI Guidance Document

- **Standard = Key Elements**
 - **HACCP-Based Standards**
 - **Food Safety Management System**
 - **Best Practices**
 - **Regulatory requirements**
- **Operation of certification process**
 - **Accreditation**
 - **Certification**
 - **Auditing**

Accredited 3rd Party Certification

- **Proven concept in many industries, including food**
- **Widely practiced outside of North America**
- **Checks and balances are employed at all steps in the process**
- **Strong verification and results-based procedures**
- **Assessment vs. Inspection**

Accredited 3rd Party Certification

- **International Accreditation Forum (IAF) peer reviews the accreditation bodies**
- **Accreditation Bodies “accredit” certification bodies**
- **Certification Bodies “certify” (audit) suppliers**
- **Certification Bodies are 3rd party auditing companies that must comply with a higher standard**

Accredited Certification Body (CB)

- **Operational Infrastructure (Organization, Processes, Finance & Review processes)**
- **Sustainable and compliant procedures & practices**
- **Ability to meet international standards**
- **License from the Standard Owner**
- **Impartiality**
- **No Conflict of Interest**

CB – Higher Level of Auditing

- **Clear, measurable assessments**
- **Qualified auditors**
- **Assess to the standard, irrespective of the country where the audit is conducted**
- **CB determines certification status (not the auditor)**
- **Competence reviews of auditors and CB staff by AB**

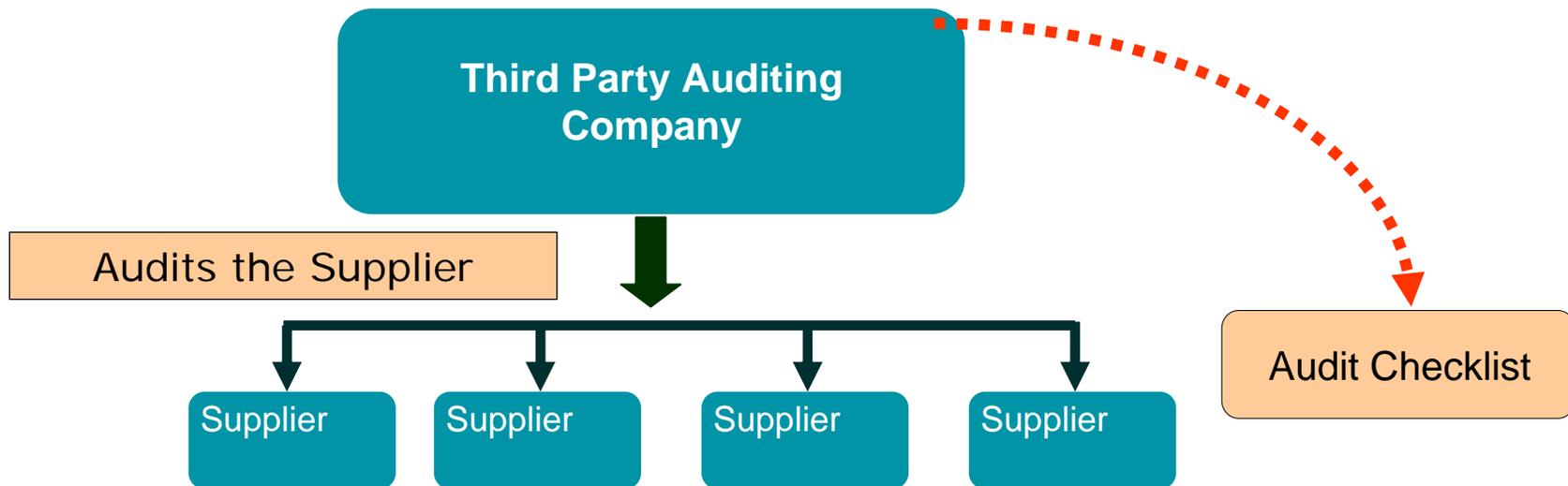
Insuring Auditor Competency

- **Accreditation Body reviews the auditor calibration processes**
- **Accreditation Body conducts shadow audits of the auditors**
- **Auditor demonstration of knowledge in food safety, HACCP & regulatory requirements for importing and exporting countries**
- **Each auditor must maintain category specific competency**

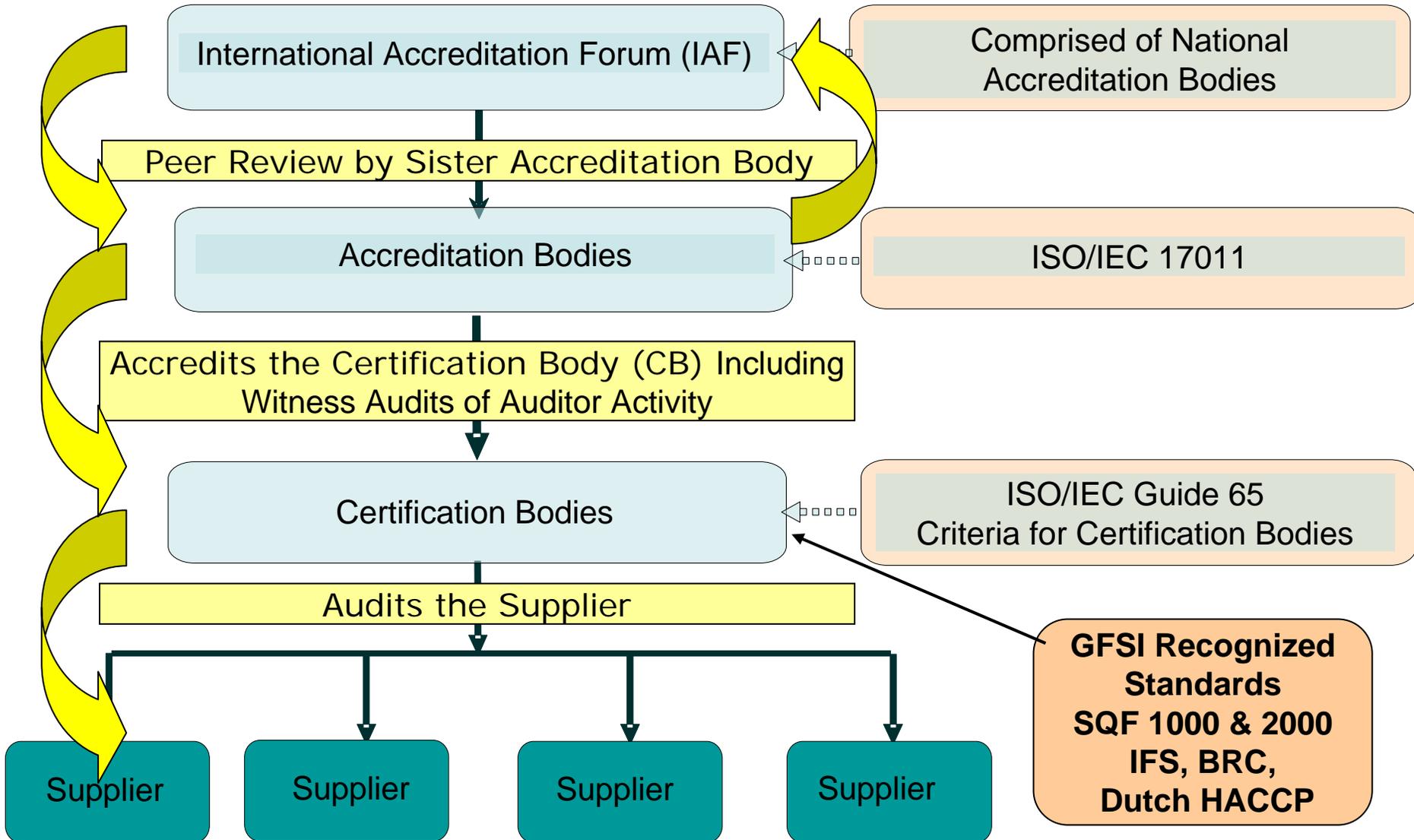
Summary: Advantages of A3PC

- Well-defined, measurable HACCP-based standards
- Assessment of competent application of food safety management systems
- Require compliance with regulatory requirements of exporting and importing countries
- Checks and balances at all steps in the process
- Risk classifications of non-conformities
- Strong corrective action resolution
- On-going recertification (12 month minimum)
- Competent auditors
- Stakeholder confidence throughout the food chain

The Old Audit Framework



Accredited Certification



GFSI Recognition

- **Evaluate existing food safety standards (scheme) and recognize those programs that are equivalent to the GFSI Guidance Document.**
- **Consistency, reliability and efficiency throughout the food supply chain through the common acceptance of GFSI recognized standards around the world.**

GFSI Recognition

- Safe Quality Food (SQF)
 - SQF 2000 – for manufactured products
 - SQF 1000 – for primary (farm) production
- International Food Standard (IFS)
- British Retail Consortium (BRC)
- Dutch HACCP

Success & Opportunities

- More and more retail companies are requesting suppliers to be certified to a GFSI standard
- Food service industry has joined with supermarkets in acceptance of GFSI
- Over 30,000 certificates issued by GFSI recognized programs in 2007
- Retailers and suppliers are using Accredited Certification to demonstrate integrity & confidence
- A3PC is not a replacement or substitute for government oversight